PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/086,862	Z)
Filing Date	03/04/02 ·	P. P. P.
First Named Inventor	CHEN	TO COM
Art Unit	1745	1 2 1
Examiner Name	n/a	0 8
Attorney Docket Number	9351-97	

ENCLOSURES (check all that apply)				
Fee Transmittal F	orm	☐ Drawing(s)	After Allowance Communication to Group	
Fee Attached		Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences	
Amendment / Rep	ply	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final		Petition to Convert to a Provisional Application	Proprietary Information	
Affidavits/dec	laration(s)	Power of Attorney, Revocation Change of Correspondence Addres	Status Letter	
Extension of Time	Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):	
Express Abandon	ment Request	Request for Refund CD, Number of CD(s)	Return Receipt Postcard	
☐ Information Disclo	sure Statement			
Certified Copy of I	Priority	Remarks		
Response to Miss Incomplete Applic	v			
Response to I Parts under 3 1.52 or 1.53				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm <i>or</i> Individual name	H. Samuel Frost Registration No. 31,696 BERESKIN & PARK			
Signature	I CAH			
Date	November 25, 2003			
		CERTIFICATE OF MAILING		

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Signature

Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37. The will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

INTELLECTUAL PROPERTY LAW

pl. No

10/086,862

Confirmation No.:

pplicant Filed

: Chen et al. : 03/04/2002

Title

Catalytic Humidifier and Heater

For the Fuel Stream of a Fuel Cell

TC./A.U.

: 1745

Examiner

N/A

Docket No.

9351-97

Customer No.

001059

ECEIVED DEC 0.2 2003

Honorable Commissioner for Patents P. O. Box 1450 Alexandria, Virginia 22313–1450

PRELIMINARY AMENDMENT

Sir:

The present letter is to file a Preliminary Amendment to the application. Please amend the application as follows:

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 5 of this paper.